

**Management and Control System Audits
from 01.07.2011 to 30.06.2012**

Annex 1.

Nº	Responsible institution	From	To	Location	Fund	Type of the Audit	Comments (system audited; project ect)	Responsible auditors	Status	Reported in ACR
II semester, 2011										
1	Audit Authority	13.05.2011.	08.07.2011.	Latvia	ESF, ERDF, CF	System audit	EU structural funds and Cohesion Fund management and control system in the Ministry of Economics (OP 1, OP 2, OP 3).	L.Graudiņa, E.Mazā	Completed	2011
2	Audit Authority	18.07.2011.	30.09.2011.	Latvia	ERDF, CF, ESF	System audit	EU structural funds and Cohesion Fund management and control system in the State Treasury as the Certifying authority and Paying authority	D.Bite, E.Mazā	Completed	2011
3	Audit Authority	11.07.2011.	24.10.2011.	Latvia	ERDF, CF, ESF	System audit	EU structural funds and Cohesion Fund management and control system audit in the Ministry of Finance as the Managing Authority and the Responsible Institution.	M.Paškeviča, L.Graudiņa, N.Mancurova	Completed	2011
4	Audit Authority	18.07.2011.	30.09.2011.	Latvia	ERDF, CF, ESF	System audit	EU structural funds and Cohesion Fund management and control system audit in the Procurement Monitoring Bureau.	I.Pierhuroviča, I.Mengote, D.Vītolīņa	Completed	2011
5	Audit Authority	11.07.2011.	30.09.2011.	Latvia	ERDF, CF, ESF	System audit	Management and control system audit in the Ministry of Welfare and State Employment Agency	M.Markevica, J.Keirāne, A.Avota, I.Akmentīņa	Completed	2011
6	Audit Authority	05.09.2011.	25.11.2011.	Latvia	ERDF, CF, ESF	System audit	Management and control system audit in the Central Finance and Contracting Agency.	I.Pierhuroviča, R.Batņa, O.Steponova, D.Bite	Completed	2011
7	Audit Authority	05.09.2011.	25.11.2011.	Latvia	ERDF, ESF	System audit	Management and control system audit in the State Chancellery and the Society Integration Foundation	S.Derjugina, I.Mengote	Completed	2011
8	Audit Authority	05.09.2011.	30.11.2011.	Latvia	ERDF, CF, ESF	System audit	EU structural funds management and control system audit in the Ministry of Culture	J.Keirāne, A.Avota	Completed	2011
9	Audit Authority	26.09.2011.	31.10.2011.	Latvia	ERDF	System audit	EU structural funds and Cohesion Fund audit on implementation of audit recommendations in the Ministry of Transport (OP 3 – ERDF un CF)	O.Guza, S.Kravčinska, I.Grīnerte	Completed	2011
10	Audit Authority	07.11.2011.	30.11.2011.	Latvia	ERDF, CF, ESF	Horizontal audit	The compliance assessment of developed internal control system with changes in laws, regulations and other changes in the Managing Authority, Responsible Authorities and Co-operation Institutions; monitoring the implementation of audit recommendations for preparation of the Annual Audit Report.	M.Markevica, D.Bite	Completed	2011
11	Audit Authority	01.11.2011.	07.12.2011.	Latvia	ERDF	System audit	Revaluation of key requirements No.2.and 4. within subactivity 2.1.2.1.1. Establishment of competence centres	O.Guza, E. Mazā	Completed	2011
12	Audit Authority	01.11.2011.	24.02.2012.	Latvia	ERDF, CF, ESF	Horizontal audit	EU structural funds and the Cohesion fund Management information system efficiency and security (OP1, OP2,OP3)	S.Kravčinska, O.Steponova	Completed	2012
I semester 2012										
1	Audit Authority	02.01.2012.	23.03.2012.	Latvia	ERDF, ESF	System audit	EU structural funds and the Cohesion Fund management and control system in the Ministry of Education and Science and in the State Education Development Agency (OP1, OP2, OP3)	R. Batņa, S.Derjugina	Completed	2012
2	Audit Authority	07.03.2012.	27.04.2012.	Latvia	ERDF, CF, ESF	System audit	Follow-up audit of the implementation of recommendations of the Ministry of Finance as the Managing Authority and the State Treasury as the Certifying Authority and the Paying Authority (OP1,OP2,OP3)	M.Paškeviča, D.Bite, A.Braunberga	Completed	2012
3	Audit Authority	19.03.2012	26.07.2012	Latvia	ERDF, ESF	System audit	EU structural funds and the Cohesion Fund management and control system audit in the Ministry of Welfare and State Employment Agency (OP1, OP3)	L.Buivida, E.Mazā, I.Krūzīte	Completed	2012

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4	Audit Authority	1.02.2012.	14.03.2012.	Latvia	ERDF, CF, ESF	System audit	EU structural funds and the Cohesion Fund management and control system in the State Regional Development Agency (OP1, OP3)	O.Steponova, U.Gulbe	Completed	2012
5	Audit Authority	02.01.2012.	05.04.2012.	Latvia	ERDF, ESF	System audit	EU structural funds and the Cohesion fund management and control system audit in the Latvian Investment and Development Agency (OP1, OP2,OP3)	A.Abula, I.Mengote	Completed	2012
6	Audit Authority	14.06.2012.	28.08.2012.	Latvia	ERDF, CF	System audit	EU structural funds and the Cohesion fund management and control system audit in the Ministry of Transport (OP3)	I.Mengote, M.Paškeviča	Completed	2012
7	Audit Authority	06.03.2012.	07.05.2012.	Latvia	ERDF, ESF	System audit	EU structural funds and the Cohesion fund management and control system audit in the Ministry of Health (OP1, OP3)	J.Keirāne, S.Mūrniece	Completed	2012
8	Audit Authority	01.03.2012	02.07.2012	Latvia	ERDF, CF, ESF	System audit	EU structural funds and the Cohesion fund management and control system audit in the Central Finance and Contracting Agency (OP1, OP2,OP3)	S.Kravčinska, S.Derjugina	Completed	2012
9	Audit Authority	06.06.2012.	13.07.2012.	Latvia	ESF	System audit	EU structural funds and the Cohesion fund management and control system audit in the State Chancellery and the Society Integration Foundation (OP1)	D.Bite, S.Derjugina	Completed	2012
10	Audit Authority	17.05.2012.	22.06.2012.	Latvia	ERDF	System audit	EU structural funds and the Cohesion fund management and control system audit in the Ministry of Culture (OP3)	J.Keirāne, U.Gulbe	Completed	2012
11	Audit Authority	02.01.2012.	23.04.2012.	Latvia	ERDF, CF, ESF	Horizontal audit	State aid monitoring system audit in the Managing Authority and Intermediate Bodies (OP1,OP2,OP3)	I.Pierhuroviča, D.Bite	Completed	2012
12	Audit Authority	02.04.2012	06.09.2012	Latvia	ERDF, CF, ESF	Horizontal audit	Public procurement system audit in the Managing Authority, Procurement Monitoring Bureau and Intermediate Bodies. (OP1,OP2,OP3)	I.Brēmane, S.Mūrniece, D.Bite	Completed	2012
13	Audit Authority	15.05.2012.	26.07.2012	Latvia	ERDF, CF, ESF	System audit	EU structural funds and Cohesion Fund management and control system audit in the Ministry of Environmental Protection and Regional Development (OP 3)	O.Steponova, R.Batņa, J.Keirāne	Completed	2012
14	Audit Authority	19.06.2012	23.07.2012	Latvia	ERDF, CF, ESF	System audit	EU structural funds and the Cohesion Fund management and control system audit in the Ministry of Economic as the responsible authority(OP1, OP2,OP3)	L. Buivida, E. Mazā	Completed	2012
15	Audit Authority	02.01.2012.	09.11.2012	Latvia	ERDF	Horizontal audit	Financial engineering instrument audit in the Ministry of Economic (Vadības un kontroles sistēmas audits Ekonomikas ministrijā par finanšu instrumentiem) (OP 2)	L.Buivida, E.Mazā, J.Keirāne, L.Graudiņa	Completed	2012